

**Object-Oriented Reflective Metalevel Architectures:  
Pyrite or Panacea?**

A Position Paper for the ECOOP/OOPSLA '90 Workshop  
on Reflection and Metalevel Architectures

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31 July 1990

## Introduction

Recent research on reflective object-oriented languages and metalevel architectures has generated a great deal of excitement, as well as a certain degree of skepticism among members of the object-oriented community. Reflective approaches appear to hold out the promise of dramatically changing the way that we *think about, implement, and use* programming languages and systems. There are those who fear, however, that by opening the door to unrestricted language level access to the unwashed masses, we are opening up a Pandora's Box.

It is customary at functions such as this one to attempt to stake out an extreme position on the matter at hand so as to properly highlight the issues and provoke discussion. In this instance, I intend to align myself squarely with the zealots.

## Programming with programmable objects

Object-oriented programming languages and systems are having a profound impact on the way that we organize, design, implement, and maintain software. These techniques allow us to treat software components and systems alike as durable, yet malleable artifacts, which evolve along with their requirements [Foote 1988] [Johnson 1988a]. It is, I trust, unnecessary to further elaborate upon the general benefits of object-oriented approaches given the nature of this group.

The current surge of interest in object-oriented reflection and metalevel architectures is, I believe, based on the observation that object-oriented languages and programs are as much themselves an appropriate domain for object-oriented techniques as are windowing systems, operating systems, or accounting systems. The vision underlying this observation is one of a programming system in which the language definition itself is distributed across a constellation of objects which are themselves subject to dynamic scrutiny and modification.

Such a system would allow users to construct new language level objects which would stand on an equal footing with previously existing features. A language built of such programmable objects would be arbitrarily extensible, and would permit language as well as application level objects to be utilized to help the system adapt and evolve as requirements change. This is far from the only potential consequence of such an organization, however. Reflective approaches offer the possibility of bringing areas as disparate as programming language design, compiler construction and code generation, programming environments, debugging, tracing, parallel programming, database systems, operating systems, computation theory, and programming language semantics together under a single umbrella.

A particularly intriguing consequence of this approach is that the components of such a system can themselves serve as the basis for a uniform (pure) object-oriented framework that can support an evolving family of different programming approaches and paradigms. The exploration of the properties of such a framework is a central focus of my own current research [Foote 199?].

### **Existing programming languages limit extensibility**

Modern programming languages owe much of their power to their ability to allow programmers to compose new abstractions using a handful of built-in facilities. This is particularly true of object-oriented languages. However, in most languages, there is a point beneath which the programmer cannot reach [Foote 1989]. The fundamental structures that define how the language itself is implemented are usually either implicit, immutable, or entirely hidden from view. It is useful to think of these objects as comprising the metaarchitecture of the language. Those structures that are explicitly manipulated by a typical program can be thought of as comprising a languages manifest architecture (or simply, architecture).

An *object-oriented* language with a *reflective metalevel architecture* can allow this goal to be achieved. A collection of such objects can server as a *framework* for a family of related metaarchitectures, and hence can facilitate experimentation with such architectures, as well as their orderly evolution.

### **Reflection permits dynamic metalevel access**

A *reflective* computational system [Smith 1983] is one that is able to inspect, manipulate, and alter a full, explicit, active, *causally connected* representation of its own internal structures. The components of this representation are called *reifications* of this underlying structure [Friedman 1984]. "Causally connected" means that any changes made to part of a process's self-representation are immediately *reflected* in its actual state and behavior. The ability to inspect (but not alter) the objects that implement a system is sometimes referred to as *introspection*.

A system's *metalevel* is comprised of those entities that pertain to, represent, or support other computational objects. In systems constructed using metacircular interpreters [McCarthy 1965] [Sussman 1978] these interpreters are programs, written in the system's underlying tongue, that define the meaning of programs running beneath them. However, programs running at a given level were usually incapable of inspecting or affecting the structures of that defined their interpreters.

Smith's 3-LISP language [Smith 1982] [Smith 1983] demonstrated how reflection could be incorporated into a Lisp-based language. In 3-LISP, user level code may specify code that is run at the level of its interpreter. This code may gain

explicit access to aspects of its state at this level that are implicit at the user level.

### **Object-oriented reflection permits a distributed metalevel architecture**

Maes's 3-KRS [Maes 1987a] [Maes 1987b] was the first language to incorporate reflection into an object-oriented framework (or vice versa). The combination of reflection with an object-oriented metalevel architecture dramatically increases the power and practicality of reflection. The metalevel of an object-oriented system can be distributed across a constellation of objects, each of which reifies certain aspects of the overall structure. By contrast, Lisp-based metacircular interpreters must often funnel the interpretation process through a single monolithic case statement in their basic eval functions.

### **A distributed architecture allows local customization**

A metalevel architecture that distributes its structure across a family of interacting objects thereby permits individual constituents of this structure to be specialized or pre-empted in the same way as user level objects. That is to say, the full power of the object-oriented approach can be brought to bear on the metalevel. Such an architecture can permit modifications to a language's default behavior to be made on a localized, or even per-object basis.

A useful way to think about this distinction is this: Dynamically modifying a component of a distributed representation is a little like removing your own appendix. Constructing and invoking a new metacircular interpreter is like swallowing yourself whole.

### **Language Design: the Hamiltonians vs. the Jeffersonians**

Allowing the programmer to make local modifications to the existing components of a programming language reflects a distinctly Jeffersonian philosophy towards language design. It is undeniable that such power has a high abuse potential. The price of freedom, after all, is responsibility.

One can make the case that the Hamiltonian view that the definition of the language itself should be beyond the reach of the programmer has unnecessarily hamstrung language as well as application evolution. The traditional view of language design has been that a programming language emerges, fully formed and carved in stone by a single hand, from some castle in the Alps. Mistakes made by the designers of such languages may persist for a generation, until such languages are supplanted altogether. Consider Pascal's inflexible array dimensions. The programs written in these languages must then be translated somehow, or die ignominious deaths. This approach makes it impossible for a language to attempt to cope with new challenges, such as the need to support

parallelism, or a persistent object store, or to support application specific extensions.

I believe the argument that reflection is dangerous because it gives programmers too much power is a specious one. Existing programming languages already give clumsy programmers more than ample opportunities to shoot themselves in the foot. I'll concede that reflective systems allow the clumsy programmer to fire several rounds per second into his foot, without reloading. Still, I'm confident that, as is the case with features such as pointers, competent programmers will make appropriate use of the power of reflection with care and skill. Potential abuse by inept programmers should not justify depriving the programming community of a potentially vital set of tools.

Certainly, metaprogramming is not for everyone. Most users will not need to resort to designing and building, as opposed to using, reflective facilities. Metaprogramming should not be undertaken frivolously. However, a language should not be an obstacle to its users, or to its own evolution. The age of Software Stalinism is past.

### **Metaobjects and Metainstances**

A characteristic of any expanding line of research is a certain amount of terminological inconsistency. So it is in this area. For instance, the term *metaobject* is used by some researchers [Maes 1987a,b] [Watanabe 1988] [Ferber 1989] to refer to a metalevel object that represents and implements its referent. Researchers in the CLOS community [Bobrow 1988] [Kiczales 1990] [Des Rivieres 1990] use the term *metaobject* as a generic term to refer to a whose range of different metalevel entities. I've found myself subscribing to the latter usage of late, since an ability to loosely use a term to refer to generic metalevel entities seems to be a useful one. I've been using the term *metainstance* to refer to the former notion. This notion retains the meaning of the original term, and may be slightly more precise, and liberates the term *metaobject* so that we are free to use it as terminological vulgate. This name change is by no means intended to disparage the importance of metainstances. This notion, as exemplified in ABCL/R, for instance, is central to the construction of meaningful metaarchitectures.

A related issue surrounds the persistent confusion that results from the different ways in which the term *metaclass* is used. Is *metaclass* a relation between two objects, i.e. class of the class of x? Is a *metaclass* any instance of the class *Metaclass*? Does the `:metaclass` option in CLOS define the class or *metaclass* of the class with which it is used? My current feeling is that the term is so inherently subject to confusion that it should be banned in all contexts except the first one above.

Another interesting definitional issue is the precise boundary between the application and metalevels. As an extreme example, one can ask the question: Is assignment itself an operation that allows a program to modify a causally connected representation of some aspect of its own state?

### **Manifest Architecture vs. Metaarchitecture**

It is useful to think about programming languages as being implemented in terms of a set of metaobjects which are manipulated by programmers to compose programs. Such an analysis is instructive even with those languages that were defined in terms of the traditional declarative/linguistic metaphor. For instance, once can talk about the properties of a procedure object in Pascal, even though the only meaningful operations on such an object might be declaration (or instantiation)

The *manifest architecture* (or application architecture, or just plain architecture) of a programming language is comprised of those elements of a programming language that are employed by a programmer to address a problem in the application domain at hand.

The *metaarchitecture* defines the nature of the palette of objects which a programmer defines to construct an application program. The metaarchitecture defines how elements of the application architecture are implemented.

Most all languages have both a manifest architecture and a metaarchitecture of some sort. In many traditional languages, the only metaoperations supported for most metaobjects are definition and redefinition.

In some languages, the metaarchitecture is *implicit*, and in others it is *explicit*. A language with an implicit metaarchitecture is one in which the programmer is encouraged to think about the program as being implemented in terms of notions with a certain sort of mechanics, even though these objects are not part of the language specification itself, and are nowhere to be seen. A language with an explicit metaarchitecture overtly acknowledges the existence of such elements in its metaarchitecture.

Metaobjects may be *accessible* or *inaccessible*. Inaccessible metaobjects are those for which no operations (with the possible exception of instantiation) are defined. Accessible metaobjects are those for which a set of explicit operations are available to the programmer. Access to such objects may be introspective or reflective.

### **A malleable self-representation is important**

One of the best known reflective facilities in any programming language is the ability of (most) languages in the Lisp family to treat users composed data structures as code. Lisp programmers are far less reluctant to devise solutions

to problems that entail computing new code than are programmers in other languages. One explanation for this is that Lisp's *self-representation* is couched at an appropriate level, that is, approximately that of an abstract syntax tree. (Of course, given the syntax of Lisp, this is a relatively easy). Lisp S-expressions are malleable aggregates that can be composed, indexed, decomposed, and inspected.

In contrast, Smalltalk-80 programs can, in principle, compute code as well. However, to do so, they must manipulate either source strings, or byte codes. The former representation is at too high a level, and the latter at too low a level to be convenient. Smalltalk-80 employs parse trees, but they are not set up to play the role necessary to be useful as a reflective self-representation. Such a representation, with a two-way mapping between it and source code (Smith's O and O\*\*-1), as well as between it and whatever machine level representations are used, seems desirable. An example of a language that explicitly represents abstract syntax level objects is KSL [Ibrahim 1988].

### **Metaobjects should mirror the metaarchitecture**

A key requirement in the design of a set of metaobjects is that elements in this architecture mirror the structure of the language model (or programming model, or metaarchitecture) itself to as great a degree as is possible. The language model can be thought informally as the story that is told by the language's defining documents to programmers about how the structures and operations that comprise the language fit together (i.e. its semantics). When the metalevel architecture's structures parallel the language model, they provide a natural locus for information pertaining to the language's semantics, and hence its translation. This model can serve as the basis for self-analysis tools that would otherwise have to be constructed in a more cumbersome fashion as part of an external environment or collection of tools. Furthermore, such self-analysis capabilities might be mobilized by a system itself to evaluate and optimize its own structure.

Indeed, since the the metaobjects can be thought of as constituting the language's implementation, the degree to which the language model can be expressed in the metalevel architecture can serve as a test of the model's comprehensiveness. Questions of both completeness and granularity<sup>1</sup> arise in this assessment. The decisions the language architect makes regarding what structures can be made explicit (reified) and modified (reflected upon) from the user level, and what features are implicitly *absorbed* [Smith 1983] by the language naturally dictate limits (or lack thereof) on the power of user-level extensions. The story you tell, and the nature of the architecture that corroborates it, is of premier importance.]

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<sup>1</sup>[Smith 1983] referred to a related notion of "vantage point".

## A metaobject palette

A reflective object-oriented metalevel architect might elect to reify a variety of different notions. A decision to include or exclude an element of the list below may have surprising and far reaching consequences with regard to the architecture's ultimate scope. A discussion of these consequences is beyond the scope of this paper. Here, in no particular order, is a palette of possible metaobjects:

Variables/Slots  
Selectors/Generic Functions/Keys/Operators  
Messages  
Evaluators/Processors/Interpreters  
Method Dictionaries/Script/Scripts Sets/Role//Containers/Behaviors  
Records/Repertoire/Relation/Table/Index  
A-List/Association/Pair/Tuple/Entry  
Handles/Pointers/Names/Addresses/Locations/References/OOPs  
Environments  
Continuations  
Contexts (Method, Block)  
Mailboxes/Message Queues  
State Memory/Stores/Storage  
Blocks/Closures  
Classes  
Types  
Prototypes/Traits  
Signatures/Protocols  
Methods (Primitive and otherwise)  
Code/Expressions/Parse Trees/Byte Codes/Forms  
(Denotations?)  
Processes/Threads/Engines

### **...and last but not least:**

Objects  
Integers/Floats/Reals/Strings  
Arrays/Aggregates/Structures/Collections/Lists  
(other primitive objects)

## Some design principles

The following design principles seem to be of utility in designing a maximally flexible uniform reflective object-oriented metalevel architecture:

*Message Passing at the Bottom*



All computation shall be conducted via message passing. This is particularly important when designing the kernel objects. This design philosophy is reminiscent of the Self language [Ungar 1987].

#### *Object-Oriented Uniformity*

Everything shall be an object including variables, "code", contexts, oops? A successful architecture should be cleanly layered, with a simple object-oriented kernel at the bottom.

#### *Malleable Self-Representation*

Computations shall be represented by first class objects at a semantically interesting level

#### *Extensionality*

Behavior only shall determine an object's identity (The Duck Test)

#### *Inheritance is signature composition*

at least from an extensional standpoint

#### *State and Behavior*

Shall be externally indistinguishable (state is, of course, architecturally significant at certain levels) Hiding state behind accessors is an effective way of maintaining an appropriate level of external abstraction in many cases. However, state emerges as an appropriate local focus as an end in itself for applications such as constructing inspectors, debuggers, and as a locus for allowing objects to migrate to distributed systems or secondary storage.

#### *Active Dispatching vs. Passive Lookup*

Shall be externally indistinguishable This permits users to customize the behavior of objects by intercepting messages sent to them on a per object basis.

#### *Dynamic vs. Static Scope*

(Operational vs. Denotational Scope) Static scope shall be dynamically implemented (as it usually is, anyway)

### **Reflection subsumes inheritance**

Reflection subsumes inheritance (and a variety of other sharing mechanisms). A reflective architecture can serve as the basis for the construction and evaluation of sharing mechanisms. Indeed, the distributed nature of an object-oriented metalevel architecture can allow several such mechanisms to coexist in the same system. New sharing mechanisms are among a host of different artifacts that can be constructed using a well designed reflective language.

An object, during the course of its lifetime, may enter into a number of different relationships with other objects in its environment. Some of these relationships

will be permanent, or at least relatively static, and others might be quite ephemeral. Some of these relationships might be quite complex. In conventional object-oriented systems, one such relationship, inheritance, is well supported. However, the facilities for allowing the programmer to construct similarly powerful mechanisms of this sort of his or her own are limited. Appropriate metalevel architectures can permit the construction of sharing mechanisms that support, for instance, dynamic, domain-level object composition as well as static code sharing.

### **Reflective applications: The Class Menagerie**

Reflective object-oriented metalevel architectures would be of little interest if they did not permit programmers to address problems they could not address in any other way. There is an extremely broad range of important problems that reflective techniques can address.

Reflection may prove particularly valuable in environments that must confront rapidly changing, highly volatile requirements, and in applications that make use of concurrency. Object-oriented techniques allow reflective embellishments to affect only localized parts of a system, or to remain in effect for only a short period of time (as might be required for debugging, for example).

Among the areas that can be addressed are: inheritance and sharing schemes, including the object composition and the construction of dynamic aggregates, maintenance, including debugging and tracing, constraint satisfaction and maintenance, the construction of intelligent agents, persistent object bases distributed systems, and concurrent systems.

Indeed, it is probably in the realm of concurrency, where metalevel issues such as processor assignment, load balancing scheduling, performance monitoring, and synchronization must be dealt with along side of object-level computation, that reflection may come into its own.

### **Reflection must be efficient to be practical**

It is imperative that reflective extensions to a language be implemented efficiently if they are to win practical acceptance. For the sake of efficiency, reflective extensions to an object-oriented language should be built into the existing language, not built on top of it.

One strategy for achieving this end is to make sure that representations of existing low-level language structures are made concrete (reified) only when they are explicitly needed. Des Rivieres and Smith, and Maes have discussed how this strategy can be used to retain efficiency in reflective systems.

Another is to use a technique like *customization* [Chambers 1989] to dynamically provide efficient expansions of reflective code. Some of the implementation

techniques used in the Self language to achieve efficiency seem applicable to reflective extensions as well. Our group at the University of Illinois [Johnson 1988b] has been investigating methods for efficiently compiling Smalltalk-80 code. There are strategies for using memory management units that can play a role in implementing reflective facilities as well.

Other promising strategies include inline caching and multiple representations [Deutsch 1984] [Foote 1989] discusses how inline caching might be used to implement alternative message dispatching schemes. The work by Deutsch and Shiffman emphasizes the extremely valuable notion of a *dynamic change of representation* to implement Smalltalk-80's highly reflective Context objects.

Ultimately, thought, the key to providing for the efficient implementation of reflective facilities may be to exploit the distributed nature of the language's self-representation. A well designed self-representation will provide appropriate loci for information that can facilitate the efficient implementation of user supplied features. The elements of this representation that are specialized to represent and implement user extensions can serve as repositories for information that can be used by the system to generate good code.

### **Conclusion: We must build atop a flexible foundation**

I believe that we in the object-oriented programming community are discovering a principle that Pacific Rim architects already know. That is: To stay the seismic upheaval that confronts a system during the course of its lifetime, it must be built atop a flexible foundation. We can no longer think of our programming languages and systems as rigid structures that will withstand generations of buffeting in this face of changing requirements. We must instead construct systems that not only build on past experience, but are able to adapt and evolve as well. We must build our houses of brick rather than straw, but make sure that there is shock absorbing material in place in their foundations as well.

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